

## BYLAWS FOR THE CONDUCT OF MASTER OF PHILOSOPHY AND DOCTOR OF PHILOSOPHY DEGREES

# FACULTY OF GRADUATE STUDIES GENERAL SIR JOHN KOTELAWALA DEFENCE UNIVERSITY

**Effective from 01.01.2024** 

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#### **PREFACE**

By-Laws made under and in terms of the provisions of Section 19 of the General Sir John Kotelawala Defence University Act No. 68 of 1981, as amended.

These By-Laws may be cited as MPhil/ PhD By-Laws of the Faculty of Graduate Studies, General Sir John Kotelawala Defence University (KDU) pertaining to the award of the degrees of Master of Philosophy (MPhil) and Doctor of Philosophy (PhD) and shall come in to force with effect from 01.01.2024.

General Sir John Kotelawala Defence University offers MPhil and PhD degrees through two Windows, namely, Window 1 and Window 2, and this document presents By-Laws pertaining to both. General Sir John Kotelawala Defence University further offers MPhil degree for candidates enrolled through the National Defence College, Sri Lanka, and for these candidates the same By-Laws pertaining to Window 1 is applicable. Any deviations pertaining to these candidates are given in Annexure A.

#### 1. GENERAL INFORMATION

These By-Laws shall be applicable to all local students (military and civil) and foreign students who register for the Degrees of Master of Philosophy (MPhil) and Doctor of Philosophy (PhD) at the Faculty of Graduate Studies of the General Sir John Kotelawala Defence University (KDU).

KDU offers postgraduate research degree programmes leading to the award of MPhil and PhD in two different windows to meet distinct requirements based on the academic background of the candidates.

#### 1.1 METHODS OF ENTRY

#### 1.1.1 WINDOW 1

Due to the nature of responsibilities and commitments connected with their working environments, some of the service and civil candidates may need an additional exposure to improve their knowledge in Research Methodology. An opportunity exists for such candidates to develop their research skills by following course modules on Proposal Writing Techniques and Research Methodology. The Research Methodology module comprises an Introduction to Research Methodology, Scientific Communication and Statistical Techniques for Research, which are designed to upgrade the knowledge and skills of the candidates in addition to the guidance provided by their supervisors. The course also consists of a course module on National Interests conducted by eminent resource persons to upgrade their knowledge on national development. These three compulsory course modules are considered as a prior necessity for the candidates who register through Window 1, and they shall follow them while engaging in their Research Projects.

The candidates selected under Window 1 are initially given a provisional registration during which they are expected to learn the foundations to develop a research proposal, with the guidance of the supervisor. They shall present their proposal before a Proposal Evaluation Committee, and upon the acceptance of the proposals, they will be registered for the degree programme.

#### 1.1.2 WINDOW 2

Some candidates may already have prior experience in research and have already identified the supervisors and the area of research. For example, those candidates who have secured research grants by themselves or by their supervisors fall under this category. These candidates have already gone through the phase of proposal development, and hence are not required to follow the prerequisite courses mentioned under 1.1.1 above. However, the supervisors may recommend them to follow certain modules of window 1 and any other courses arranged by the Faculty of Graduate Studies, based on their individual requirements during their research work.

#### 1.2 TYPES OF CANDIDATES

#### 1.2.1 A FULL-TIME CANDIDATE

A full-time candidate shall be a person duly registered for an MPhil/PhD degree programme, who is engaged in research and related activities during the entire normal working hours of the week. Employed full-time candidates are required to obtain leave of absence from their places of work. However, he/she may undertake academic work or official duties at the place of employment during the period of postgraduate studies, with the permission of the supervisor(s) for a maximum of 6 hours per week.

#### 1.2.2 A PART-TIME CANDIDATE

A part-time candidate shall be a person duly registered for an MPhil/PhD degree programme, who engages in research and related activities during a part of the total working hours of a week for his/her research work as acceptable to the Faculty Board, Faculty of Graduate Studies. A part-time candidate shall be present at the university for a minimum period of time as prescribed by the main supervisor and the relevant Board of Study and approved by the Senate and at particular hours prescribed by the supervisor(s).

#### 1.2.3 A SPLIT-SITE CANDIDATE

A split-site candidate shall be a person duly registered for an MPhil/PhD degree programme at KDU who is permitted to conduct research in a foreign university. The foreign university shall also have shared ownership of intellectual property rights over the degree or the products of the MPhil/PhD research and its outcomes. The candidate is responsible for securing funds required for foreign studies.

#### 1.2.4 CHANGE OVER FROM FULL-TIME TO PART-TIME AND VICE VERSA

If a student wishes to change the type of registration, he/she may submit a letter of request to the Dean, Faculty of Graduate Studies through the supervisor/s and the Chairperson of the relevant Board of Study. However, the decision over the proposed change shall become effective subject to the recommendations of the Board of Study and the Faculty of Graduate Studies and the approval of the Senate. On such occasions, the Senate, as recommended by the Board of Study and the Faculty Board, Faculty of Graduate Studies, shall decide the minimum and maximum period of the degree programme, in accordance with 1.3.

#### 1.3 DURATION OF DEGREE PROGRAMMES

The duration of study required for the degrees as a part-time or full-time candidate and the maximum time period allowed for completion is shown in Table 1.

	Degree Programme	Minimum Duration	Maximum Duration
01	MPhil – Full-Time	02 years	05 years
02	MPhil – Part-Time	03 years	07 years
03	PhD – Full-Time	03 years	07 years
04	PhD – Part-Time	05 years	09 years

Table 1: Duration of Degree Programmes

#### 2. ELIGIBILITY CRITERIA

#### 2.1 MASTER OF PHILOSOPHY

The minimum requirements for registration for MPhil degree programme are:

- 2.1.1 A Master's Degree (SLQF L9/10) in a relevant field from a recognized university; or
- 2.1.2 A Bachelor's degree in a relevant field of four-year duration (SLQF L6) with a First or Second Class from a recognized university; or
- 2.1.3 A Bachelor's degree in a relevant field of three-year duration (SLQF L5) with a First or Second Class and with a minimum of two-year experience in the relevant field; **or**
- 2.1.4 Passed Staff College (psc)/ Logistics Staff Course (lsc) or an equivalent from a local or foreign institution with a minimum of 20 years of service; **and**
- 2.1.4.1 Evidence of at least one research publication. This includes journal articles/ conference papers; **or**
- 2.1.5 Any other relevant qualifications recommended by the relevant Board of Study, accepted by the Faculty Board, Faculty of Graduate Studies and approved by the Senate.

#### 2.2 DOCTOR OF PHILOSOPHY

The minimum requirements for registration are,

- 2.2.1 An MPhil degree (SLQF 11) in a relevant field from a recognized university; or
- 2.2.2 An approval by the Senate for upgrading from an MPhil to PhD programme; or
- 2.2.3 A Bachelor's degree in a relevant field of full-time four-year duration (SLQF L6) with a First Class from a recognized university, and with evidence for adequate experience in research as acceptable to the relevant Board of Study; or
- 2.2.4 Any other qualification equivalent to a full-time two-year research degree (SLQF L 11) acceptable to the relevant Board of Study and to the Faculty Board, Faculty of Graduate Studies and approved by the Senate.

#### 3. APPLICATION AND REGISTRATION PROCEDURE

#### 3.1 APPLICATION PROCESS UNDER WINDOW I

#### 3.1.1 SELECTION OF CANDIDATES

- 3.1.1.1 Applications will be called for by means of an advertisement published by the Faculty of Graduate Studies, KDU.
- 3.1.1.2 Application forms of MPhil or PhD programme may be obtained from the Faculty of Graduate Studies, KDU or downloaded from its website.
- 3.1.1.3 The research fields available at KDU will be published in the Faculty of Graduate Studies, KDU web page as guidance, to prepare the synopsis to be submitted along with the application.
- 3.1.1.4 Duly completed application forms shall be submitted to the Assistant Registrar, Faculty of Graduate Studies on or before the deadline given.
- 3.1.1.5 The applications shall be shortlisted by the Program Coordinator and the chairpersons of the relevant Board of Studies after screening of applications with regard to eligibility criteria, availability of internal expertise as potential supervisors, and the submitted synopsis, with the assistance from the relevant other faculties. The shortlisted candidates will be interviewed by an Interview Panel consisting of the Dean Faculty of Graduate Studies, the Dean-Research and Development, Program Coordinator, the Dean of the relevant other Faculty, and the Chairperson of the relevant Board of Study. Wherever possible, the potential supervisor/s nominated by the faculties will also be invited as a member to the interview panel.
- 3.1.1.6 The list of selected applicants will be forwarded to the Board of Study to recommend supervisors. However, candidates are encouraged to nominate potential supervisors for their research. The Board of Study will obtain nominations from the relevant faculties, from among the potential academic staff members, to supervise the shortlisted applicants.

- 3.1.1.7 The Board of Study shall forward the list of selected applicants, and the names, affiliations and CVs of recommended supervisors, to the Dean faculty of Graduate Studies to obtain the recommendation of the Faculty Board. These applicants will be provisionally registered as MPhil or PhD candidates under Window I. The Faculty of Graduate Studies will submit the list of selected candidates for the given year under Window 1 along with the details of the recommended supervisors to the Senate for approval. No student will be provisionally registered without a suitable supervisor being appointed.
- 3.1.1.8 The candidates will be notified of the Provisional Registration by the Assistant Registrar of the Faculty of Graduate Studies with a copy to the relevant supervisor(s).

#### 3.1.2 PROVISIONAL REGISTRATION

- 3.1.2.1 Provisional Registration is valid only for two (02) years.
- 3.1.2.2 With the Provisional Registration, it is compulsory for all candidates (full-time or part-time) to successfully complete the course modules on Proposal Writing Techniques and Research Methodology within the stipulated period.
- 3.1.2.3 Within the provisional registration period, candidates shall submit the research proposal, developed in accordance with the recommended format, to the Dean, Faculty of Graduate Studies, through the relevant Board of Study, with the recommendation of the supervisor.
- 3.1.2.4 It is compulsory for the candidates to have completed the course module on "Proposal Writing Techniques' with 80% attendance before the submission of the proposal.

#### 3.1.3 EVALUATION OF RESEARCH PROPOSAL

- 3.1.3.1 The proposal evaluation process includes the evaluation of the written proposal of a candidate (60% of total marks) and the evaluation of the presentation on that proposal (40% of total marks).
- 3.1.3.2 Two (02) evaluators (at least 01 external) shall be appointed by the Faculty of Graduate Studies, through the recommendation of the relevant Board of Study, and with the approval of the Senate for evaluating the written proposal. The submitted proposals shall be evaluated by appointed evaluators and the candidates shall present the proposal before a Proposal Evaluation Committee (PEC).
- 3.1.3.3 The PEC shall comprise of two Evaluators, Chairperson of the Board of Study, Dean-Research and Dean, Faculty of Graduate Studies, and two internal members nominated

- from the Board of Study. The PEC will be chaired by the Dean, Faculty of Graduate Studies or the Chairperson of the relevant Board of Study. Relevant Supervisors will be present as observers.
- 3.1.3.4 The candidate shall secure a minimum of 65% overall marks to pass the proposal evaluation for both MPhil and PhD degrees.
- 3.1.3.5 A candidate earning below 65% in the proposal evaluation shall be given two more attempts to resubmit a Research Proposal to the PEC within the period of provisional registration.
- 3.1.3.6 The decision on the acceptance of the proposal on the recommendations of the evaluation panel will be forwarded by the relevant Board of Study to the Dean, Faculty of Graduate Studies for obtaining the recommendation of the Faculty Board, FGS and approval of the Senate.
- 3.1.3.7 If the candidate fails to submit the proposal or unable to secure required marks to pass the proposal evaluation within the stipulated period, the candidate's provisional registration shall be cancelled.
- 3.1.3.8 The proposal evaluation process should generally be completed within three months from the date of submission of the proposal.

#### 3.1.4 REGISTRATION

3.1.4.1 Once a candidate passes the proposal evaluation, he/she shall be given full registration status (hereinafter referred to as registration) and the date of registration shall be as defined under 3.1.5.

#### 3.1.5 EFFECTIVE DATE OF REGISTRATION

3.1.5.1 When the candidate passes the Research Proposal Evaluation, the effective date of registration shall be the date of Provisional Registration.

#### 3.2 APPLICATION PROCESS AND REGISTRATION UNDER WINDOW II

- 3.2.1 Application and registration are open throughout the year.
- 3.2.2 Application forms for MPhil or PhD programmes can be obtained from the Faculty of Graduate Studies, KDU or downloaded from its website.

- 3.2.3 Duly completed application forms with the title and a proposal of the intended research project with the recommendation of the supervisors shall be submitted to the Assistant Registrar, Faculty of Graduate Studies.
- 3.2.4 The applications shall be screened for eligibility criteria of the candidates and the suitability of the supervisors, by the Board of Study.
- 3.2.5 The relevant Board of Study will recommend Two (02) evaluators (at least 01 external) to be appointed by the Faculty of Graduate Studies, through the recommendation of the Faculty Board of FGS, and with the approval of the Senate, for evaluating the written proposal. Based on the recommendation of the appointed evaluators, the Board of Study shall make the decision on the acceptance of the proposal.
- 3.2.6 The Board of Study shall call the candidate and the supervisors for any clarification required regarding the application and proposal of intended research. The Board of Study will decide whether the candidate shall present the proposal before a Proposal Evaluation Committee (PEC) based on the recommendation by the evaluators. The PEC shall comprise of the two Evaluators, Chairperson of the Board of Study, Dean-Research and Dean, Faculty of Graduate Studies, and two internal members nominated from the Board of Study. The PEC will be chaired by the Dean, Faculty of Graduate Studies or the Chairperson of the relevant Board of Study. Relevant Supervisors will be present as observers.
- 3.2.7 The Board of Study may suggest additional co-supervisors with the concurrence of the appointed supervisor(s) when necessary.
- 3.2.8 The recommendation of the candidature by the relevant Board of Study, shall be forwarded to the Dean, Faculty of Graduate Studies to obtain the Faculty Board recommendation and Senate approval for Registration under Window II.
- 3.2.9 The candidates will be notified of the Registration by the Assistant Registrar of the Faculty of Graduate Studies with a copy to the relevant supervisor(s), which should generally be within three months from the date of submission of the application.

#### 4. SUPERVISORS OF RESEARCH DEGREES

- 4.1 There could be more than one supervisor; one main supervisor and co-supervisor/s, if necessary. The eligibility criteria of supervisors are given under 'GUIDELINES FOR MPhil AND PhD DEGREES.
- 4.2 It is mandatory to have at least one supervisor from KDU.
- 4.3 The main supervisor shall be a specialist in the major discipline of the candidate's area of intended research.
- 4.4 If the research work is to be carried out in an external institution, there could be a cosupervisor from that institution.
- 4.5 If the research is a split programme with a foreign university, there shall be a supervisor from the foreign university who shall collaborate with the local supervisor(s).
- 4.6 If a supervisor is unable to perform his/her supervisory duties for a period exceeding three months, another supervisor shall be nominated for that period by the supervisor to cover-up the supervision during his/her absence with the recommendation of the relevant Board of Study and the Faculty Board of FGS and the approval of the Senate.
- 4.7 If there is any dispute between the student and the supervisor, the relevant BOS will recommend prompt action to be taken, to the Faculty of Graduate Studies for approval by the Faculty Board and the Senate.

#### 5. SCHEDULE OF FEES

- 5.1 The schedule of fees for the degree programme shall be as laid down by the Faculty of Graduate Studies on the recommendation of the Senate and the approval of the Board of Management, KDU.
- 5.2 The applicable schedule of fees for a particular candidate shall be as determined at the time of provisional registration of the candidate.
- 5.3 The registered candidates are required to renew the registration on a yearly basis paying the registration and any other relevant fees until the completion of the course.

#### 6. PROGRESS EVALUATION

6.1 Candidates shall submit the progress reports prepared according to the prescribed format once in every six (06) months, with the recommendation of the supervisors, through the relevant Board of Study to the Dean-Faculty of Graduate Studies.

- 6.2 The Relevant Board of Study shall review the progress of a candidate based on the recommendation made by the evaluators.
- 6.3 The same evaluators appointed for the candidate's proposal evaluation will serve as the evaluators for the evaluation of progress reports.
- 6.4 The candidates shall also be called in for presentations on the progress annually to an evaluation panel. There shall be a minimum of two (02) such progress presentations for MPhil and three (03) progress presentations for PhD.
- 6.5 This panel shall comprise the two Evaluators, Chairperson of the Board of Study, Dean-Research, Dean, Faculty of Graduate Studies and the two internal members nominated from the Board of Study. The panel will be chaired by the Dean, Faculty of Graduate Studies or the Chairperson of the Board of Study. Supervisors will be present as observers.
- 6.6 The status of the progress of the candidate with the recommendations of the evaluation panel will be forwarded by the relevant Board of Study to the Dean, Faculty of Graduate Studies.
- 6.7 A recommendation regarding a cancellation of Registration of a candidate due to continuous unsatisfactory progress will be made after a detailed discussion with the supervisors and the evaluators. The final decision will be made by the relevant Board of Study and forwarded for the approval of the Senate through the Faculty Board, Faculty of Graduate Studies.

#### 7. UPGRADING FROM MPhil TO PhD PROGRAMME

- 7.1 With the recommendation of the supervisor(s), a candidate shall submit the prescribed application for an upgrading from MPhil to PhD not before the completion of one year from the date of passing the proposal evaluation along with a progress report, to the Dean- Faculty of Graduate Studies through the relevant Board of Study with the recommendation of the supervisors.
- 7.2 The relevant Board of Study shall review the request by the candidate based on the recommendation by the evaluators and the candidate will be called in for a presentation before the progress evaluation panel.
- 7.3 Based on the recommendation of the progress evaluation panel, the relevant Board of Study will forward the suitability of the candidate to be upgraded for registration for a PhD degree to the Dean, Faculty of Graduate Studies for recommendation of the Faculty Board of Graduate Studies and approval by the Senate.

#### 8. CANCELLATION OF REGISTRATION

The registration of a candidate may be cancelled by the Senate on the recommendation of the Board of Study and the Faculty Board, Faculty of Graduate Studies due to following reasons:

- 8.1 Non-fulfillment of prescribed mandatory course work requirements within the provisional registration period.
- 8.2 Failure to pass proposal evaluation within the provisional registration period.
- 8.3 Non-completion of the degree within the stipulated period of time
- 8.4 Non-payment of the annual fee within the first six months of each year to keep the studentship active.
- 8.5 Unsatisfactory progress or non-submission of three consecutive Progress Reports.
- 8.6 Breach of rules and regulations of KDU as decided by the Faculty Board and the Senate.

### 9. LEAVE OF ABSENCE/ DEFERMENT/ WITHDRAWAL FROM THE PROGRAMME

- 9.1 Registered candidates may be granted leave of absence from the programme under special circumstances on a written request made to the Dean, Faculty of Graduate Studies with the recommendation of the supervisor.
- 9.2 Candidates who are in the provisional registration stage may request for a deferment by a year under special circumstances in writing to the Dean, Faculty of Graduate Studies with the recommendation of the supervisor(s).
- 9.3 The requests shall be forwarded to the Senate for approval with the recommendation of relevant Board of Study and the Faculty Board of the Faculty of Graduate Studies.
- 9.4 The following will be considered under special circumstances.
  - -Valid medical reasons
  - -Being out of the country for official matters provided the request had been made prior to departure
  - -Any other valid reason acceptable to the relevant Board of Study, the Faculty Board of the Faculty of Graduate Studies and the Senate
- 9.5 A candidate seeking to withdraw from the programme for which he/she is registered shall do so in writing to the Dean, Faculty of Graduate Studies through the supervisor(s). In such situations, a candidate may claim only the refundable fees.

#### 10. THE THESIS SUBMISSION AND EVALUATION PROCEDURE

- 10.1 Upon the completion of MPhil or PhD research work, a candidate shall submit the thesis to the Faculty of Graduate Studies on the recommendation of the supervisor(s) provided he/she has met all the relevant programme requirements defined under section 13.
- 10.2 The thesis, prepared in accordance with the recommended format, shall be submitted in the prescribed number of copies and format, to the Dean, Faculty of Graduate Studies through all the supervisors and the Chairman of the Board of Study.
- 10.3 All candidates shall have a minimum of two (02) examiners.
- 10.4 The examiners shall possess qualifications equivalent or higher than the degree for which the candidate is registered.
- 10.5 The examiners should have experience and required knowledge in the research field of the candidate. They should have at least five years of research experience after their postgraduate qualifications.

- 10.6 The examiners should be from outside the General Sir John Kotelawala Defence University and it is encouraged to nominate at least one foreign examiner where possible.
- 10.7 Supervisors shall nominate potential examiners to the Board of Study, which should be submitted by one of the supervisors to the Dean/ FGS three months prior to the submission of the thesis. On the recommendation of the relevant Board of Study and the Faculty Board, the Senate shall approve two (02) of the nominations to be appointed as examiners.
- 10.8 The Board of Study, the Faculty Board of Graduate Studies and the Senate shall have the right to reject examiners on valid reasons.
- 10.9 On receiving the evaluation reports from the thesis examiners, preferably within four (04) weeks from the submission of the thesis for evaluation by the examiners, the candidate shall be summoned for a viva voce examination.
- 10.10 The viva voce examination shall be conducted by a panel comprising the Dean, Faculty of Graduate Studies, the Chairman of the relevant Board of Study, the Dean Research and Development, the Dean of the relevant Faculty, and the examiners appointed under section 10.6. Dean, Faculty of Graduate Studies will chair the panel. The supervisors will be present at the viva voce as observers.
- 10.11 In the event of a conflict between the two examiners at the final decision of the viva voce, a third examiner shall be appointed by the Senate on the recommendation of the Supervisor(s), the relevant Board of Study and the Faculty of Graduate Studies.
- 10.12 If one of the appointed examiners is unable to attend the viva voce physically, then the thesis defence examination shall be conducted with his or her presence via electronic mode provided he/she has submitted the thesis evaluation report to the Faculty of Graduate Studies.
- 10.13 The candidate shall be assessed on the basis of the thesis and the viva-voce examination by the appointed examiners. Based on the assessment by the examiners, the panel of viva voce examination may recommend to
  - 1. Accept the thesis without any corrections or,
  - 2. Accept the thesis with minor corrections or
  - 3. Accept the thesis after major revisions or
  - 4. In the case of a PhD candidate, recommend awarding an MPhil degree instead of a PhD based on the standard of the thesis or.
  - 5. Reject the thesis.

- 10.14 In the case of PhD candidates the Vice Chancellor, Deputy Vice Chancellor(s) and the academic staff of the relevant Faculty may be invited as observers for the viva voce examination. However, the examination is confined only to the examiners as indicated under section 10.10.
- 10.15 A candidate whose thesis has been accepted with minor revisions as stipulated in para 10.13.2, shall submit the revised thesis certified by the supervisor(s), with a separate letter indicating the changes made in a tabular form including the revisions suggested, actions taken and the relevant page numbers of the thesis. For minor revisions, the submission shall be made within 03 months. For major revisions, the submission shall be within 06 months.
- 10.16 Revisions submitted for a thesis accepted after major revisions will be reevaluated to the satisfaction of the thesis examiners. The examiners may further recommend a repeat viva voce on the revised thesis.
- 10.17 The candidate shall submit five hard bound copies of the accepted thesis (PhD in Raspberry Red colour and MPhil in Green Bank colour), duly certified by the Dean, Faculty of Graduate Studies, and deposit four copies as follows.
  - a. At the Main Library of the University
  - b. At the Library of Faculty of Graduate Studies
  - c. With the Main Supervisor
  - d. With the Dean, Faculty of Graduate Studies

The fifth copy shall be retained by the candidate. In addition to the hard copy, the candidate should submit a soft copy of the thesis as well (i.e. pdf file) to be placed at the main library.

The candidate shall submit to the Dean, Faculty of Graduate Studies the receipt of such depositions.

#### 11. EFFECTIVE DATE OF THE DEGREE

The effective date of the degree which shall not precede the last date of the minimum duration of the programme shall be as follows:

- 11.1 If the thesis is accepted without any corrections or accepted with minor corrections and where the revised thesis is submitted within the time period granted by the examiners, the effective date of the degree shall be the same as the **date of initial submission** of the thesis.
- 11.2 If the thesis is accepted with minor corrections but the revised thesis is not submitted within the time period granted by the panel of examiners, the effective date of the degree shall be the date of the submission of the revised thesis.
- 11.3 If the thesis is accepted with major corrections, the effective date of the degree shall be the <u>date of the submission of revised thesis</u> or the date of the viva voce if there will be a repeat viva voce.

#### 12. PUBLICATION OF THESIS

- 12.1 The candidate may, with the consent of the supervisor(s), publish any part(s) of his/ her work leading to the thesis in scientific journals or present it orally at meetings of learned bodies, provided it is duly acknowledged that the work so reported is part of a research project the candidate is pursuing for a degree of KDU.
- 12.2 The work so published as in 12.1 may be bound as annexure(s) when the thesis is presented for examination and/or submitted to the viva voce examination.
- 12.3 Where relevant, the Faculty of Graduate Studies on the recommendation of the Board of Examiners should indicate the candidate whether the thesis could be published partly or totally or not at all. Without such an approval, the candidate is not permitted to publish the Thesis partly or totally.

#### 13. AWARD OF THE DEGREES

#### 13.1 MPhil DEGREE

The MPhil degree may be awarded to a candidate who has:

13.1.1 fulfilled the admission requirements, as indicated in section 2.1

and

13.1.2 been duly registered and paid all relevant fees for the duration of his/her programme

and

13.1.3 satisfied conditions on duration as indicated in section 1.3

and

- 13.1.4 successfully completed the examination requirements, as indicated under section 10 and
- 13.1.5 secured at least one publication in a peer reviewed standard indexed journal, in accordance with the KDU Publication Policy.

#### 13.2 PhD DEGREE

The PhD degree may be awarded to a candidate who has:

13.2.1 fulfilled the admission requirement as indicated in section 2.2

and

13.2.2 been duly registered and paid all relevant fees for the duration of his/her programme

and

13.2.3 satisfied conditions on duration as indicated under section 1.3

and

13.2.4 successfully completed the examination requirements, as indicated under section 10

and

13.1.6 secured at least two publications in peer reviewed standard indexed journal, in accordance with the KDU Publication Policy.

#### 14. REGULATIONS AND REVISIONS

- 14.1 The provisions prescribed in these By-Laws are subjected to revision from time to time.
- 14.2 Regulations made under these By-Laws, including the schedule of fees, may be changed/ revised independently of the By-Laws, but in keeping within the provisions given in the by-laws.
- 14.3 These By-Laws are exhaustive, and shall not be amended without the prior approval of the BoM of KDU.
- 14.4 Upon these By-Laws coming into force, all By-Laws made heretofore pertaining to the conduct of MPhil and PhD Degree Programmes and Examinations at FGS KDU shall cease to be effective.
- 14.5 The Vice Chancellor of KDU may give directions deemed necessary under the circumstances in the implementation of these By-Laws.

### ANNEXURE A : SPECIFIC DETAILS FOR CANDIDATES REGISTERED THROUGH THE NDC

#### A.1.0 METHODS OF ENTRY

#### A.1.1.3 CANDADATES FROM NATIONAL DEFENCE COLLEGE (NDC)

The National Defence College (NDC), Sri Lanka, established through the Cabinet Decision No. MOD/CP/DEF/83/2016 has been affiliated to General Sir John Kotelawala Defence University Sri Lanka for the award of post graduate degrees by the Cabinet decision 21/0355/303/020 dated 16.03.2021. The NDC is a platform to bring all officials from Armed Forces, Police and Public Service, who are at the highest levels in their services, to study national issues pertaining to National Security, creating a joint environment and civil-military cooperation. This is a critical requirement for Sri Lanka, and therefore the opportunity has been created to such officials to follow an MPhil degree program from KDU, in line with subjects related to National Security and Strategic Study modules, through the NDC, Sri Lanka. In addition to learn the foundation to do develop a research proposal by following a course module in Research Methodology, these candidates will receive the opportunity to upgrade their knowledge in the above areas by following course modules in Strategy and Policy, Sri Lanka Studies, Economic Security, Science and Technology, International Security, Geo-politics and Strategy, and National Security and Policy.

#### **A.3.1.1 SELECTION OF CANDIDATES**

- 1. Duly completed application forms along with Service / Academic certificates and the synopsis (minimum 1000 words) on the selected area of research interest, related to fields such as Strategy and Policy, Sri Lanka Studies, Economic Security: Regional and Global Compulsions, Science and Technology, Geopolitics and Policy, International Security, National Security and Policy, will be submitted to the Assistant Registrar, Faculty of Graduate Studies through the NDC, Sri Lanka.
- 2. The selection interview panel will additionally include one of the Senior Directing Staff member and the University Coordinator from the NDC.
- 3. Those candidates who are not selected to follow the MPhil degree program may apply for registration for a Master of Science in National Security and Strategic Studies conducted at the NDC.

#### A.3.1.3 EVALUATION OF RESEARCH PROPOSAL

- 1. It is compulsory for all candidates to have successfully completed the Research Methodology module, with the attendance requirements as prescribed by the NDC, before the submission of research proposal.
- 2. The candidates should have appeared for the first attempt of proposal evaluation VIVA within one year of the provisional registration period.
- 3. The candidates who are unable to complete the proposal acceptance within the provisional registration period, may be considered enrolling to the Master of Science in National Security and Strategic Studies conducted at the NDC with the immediate intake, subject to cancellation of the registration of the MPhil degree.

#### A.10. THE THESIS SUBMISSION AND EVALUATION PROCEDURE

1. The Senior Directing Staff member and the University Coordinator from the NDC will be invited members as observers for the viva voce examination of the final thesis.

#### **A.14. TRANSITIONAL PROVISIONS**

With effect of these By-Laws, the By-Laws for MPhil for NDC which came into operation on 01.01.2022, shall apply to NDC programs I and II only.

**END-BY-LAWS**